



Express Mail No.: EL 501 641 477 US

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Gonzalez et al.

Application No.: 09/805,353

Group Art Unit: 1645

Filed: March 13, 2001

Examiner:

For: ENGINEERED PROTEIN  
BINDING DOMAINS AND  
METHODS AND SYSTEMS FOR  
THEIR DESIGN AND USE

Attorney Docket No.: 9845-015

**RESPONSE TO NOTICE  
TO FILE MISSING PARTS OF APPLICATION**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice To File Missing Parts Of Nonprovisional Application (a copy which is enclosed herewith), mailed July 6, 2001 in connection with the above-identified application, Attorneys for Applicants submit the following:

- (A) a DECLARATION FOR NONPROVISIONAL PATENT APPLICATION  
executed by all the inventors (Drs. Gonzalez, Lacroix, Reina, and Serrano);
- (B) a POWER OR ATTORNEY BY ASSIGNEE signed by an official of the assignee  
(Dr. Kerber), the European Molecular Biology Laboratory (EMBL);
- (C) a TRANSMITTAL OF SEQUENCE LISTING UNDER 35 C.F.R. § 1.821 along  
with the sequence listing information in both computer readable and paper  
forms;
- (D) a PRELIMINARY AMENDMENT to amend the specification by entering the  
sequence listing information; and
- (E) an authorization below to pay a fee of \$130.00.

Submitted concurrently herewith for recordation in the Patent and Trademark Office is an assignment of all rights in this application by the inventors to EMBL.

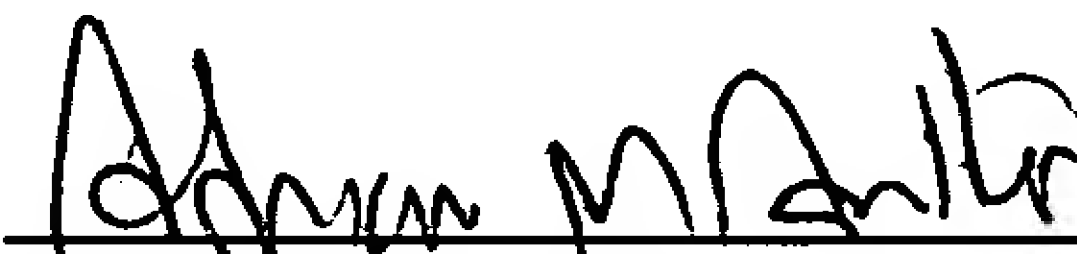
It is estimated that a fee of \$130.00 is required for filing this response and submitting these documents. Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed for accounting purposes.

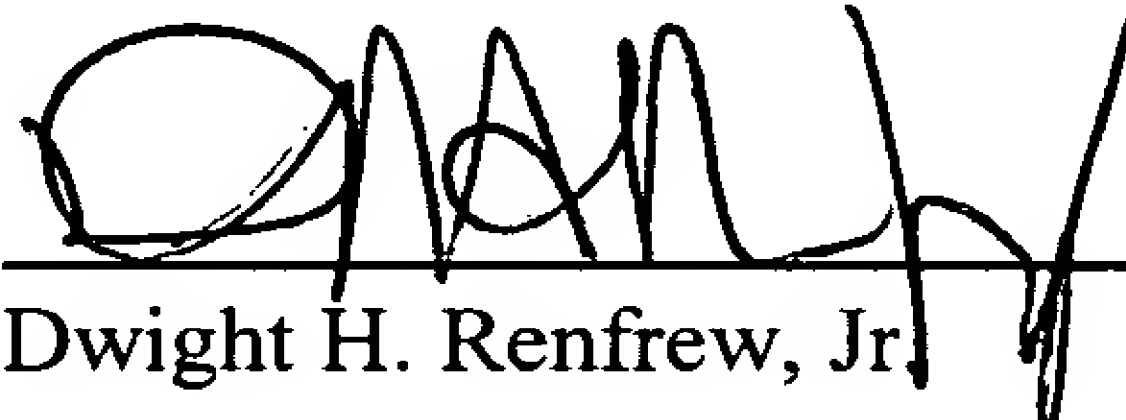
Applicants respectfully request that the above-identified documents be made of record in the present application file.

It is submitted that the Notice, and in particular 37 C.F.R. § 1.821-1.825, is hereby fully and completely complied with.

Respectfully submitted,

Date September 5, 2001

  
Adriane M. Antler 38,605  
(Reg. No.)

By:   
Dwight H. Renfrew, Jr. (38,594)

PENNIE & EDMONDS LLP  
1155 Avenue of the Americas  
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Enclosure (Notice to File Missing Parts,  
Declaration,  
Power of Attorney,  
Submission of Sequence Listing,  
Preliminary Amendment)

#4



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/805,353	03/13/2001	Cayetano P. Gonzalez	9882-015-999

20583  
PENNIE AND EDMONDS  
1155 AVENUE OF THE AMERICAS  
NEW YORK, NY 100362711

CONFIRMATION NO. 1937  
FORMALITIES LETTER  
\*OC000000006264005\*

Date Mailed: 07/06/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/07/2001 NROCHA1 00000057 161150 09805353

FILED UNDER 37 CFR 1.53(b)

01 FC:105 130.00 CH

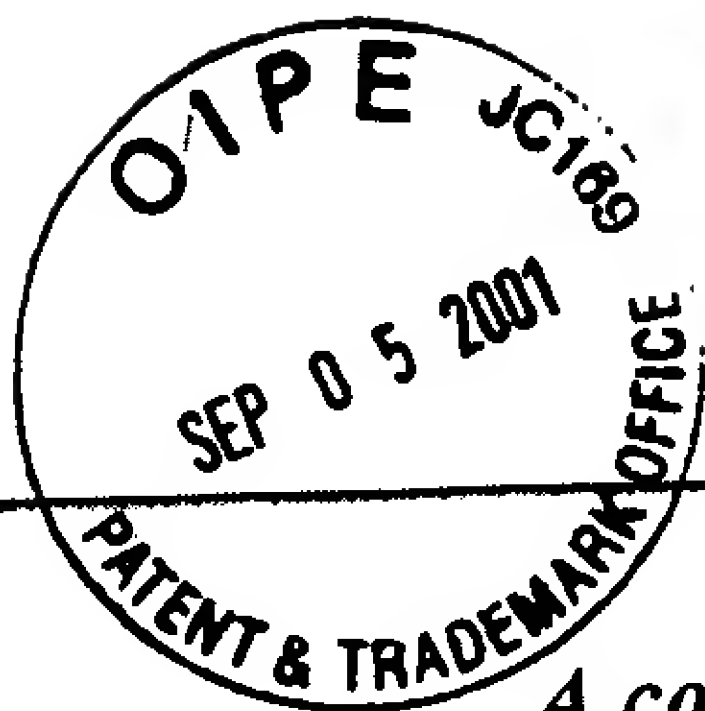
### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

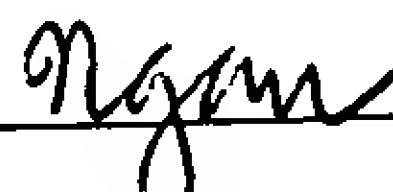
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov



A copy of this notice MUST be returned with the reply.

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 1 - ATTORNEY/APPLICANT COPY